

Tigrinya root consonants and the OCP

EUGENE BUCKLEY
UNIVERSITY OF PENNSYLVANIA

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The Obligatory Contour Principle (OCP), which expresses a prohibition on adjacent identical elements, has played an important role in the development of phonological theory. Originally proposed in the domain of tone, it has received some of its most striking support from the nature of consonantal roots in Semitic languages. For example, in Classical Arabic there are no roots which consist of identical adjacent consonants (**qqʕ*), a fact which is attributed to the OCP. In its standard formal conception, the OCP is an absolute principle: it judges between identity or lack of identity, permitting only the latter cases. In fact, however, the Semitic data support a more gradient interpretation: while identical adjacent consonants are prohibited, nonadjacent identical consonants are disfavored but attested; so are adjacent nonidentical consonants.

In this paper I survey evidence from the Ethio-Semitic language Tigrinya which supports these conclusions. I begin in §1 by describing the root-and-pattern morphology of Semitic languages, together with the consonantal roots which constitute a central element of the system. In §2 I survey traditional observations regarding restrictions on the cooccurrence of similar consonants within a root, and how the OCP has been applied to explain them. In §3 I describe the corpus used in the study, outline the methodology, and discuss the major results. In §4 I show how Pierrehumbert's (1993) similarity model accounts for the observed patterns. In §5 I consider an additional hypothesis regarding the role of root length in cooccurrences. A brief conclusion is given in §6.*

1. Roots and templates

A fundamental characteristic of Semitic morphology is the use of consonantal roots and syllabic patterns, or **templates**, in various combinations. I use data from Tigrinya to illustrate, but the phenomena are typical for the family as a whole. For example, the following words illustrate the realization of the root /sbr/ 'break (tr.)' in a range of templates (Leslau 1941, Berhane 1991); in many cases there are also affixes.

- | | | | |
|-----|----|------------|-----------------------|
| (1) | a. | sabʕar-ku | 'I broke (it)' |
| | b. | yi-ssabʕar | 'that it be broken' |
| | c. | ti-sabbir | 'she breaks (it)' |
| | d. | ni-sabr-o | 'we break it' |
| | e. | sibʕar | 'break (it)! (m.sg.)' |
| | f. | yi-sbʕar | 'that he break (it)' |

The data in (1) reflect various inflectional categories for a single verb, whose exponence is not only in prefixes and suffixes but also in the shape of the **stem**: that is, the combination of the consonantal root with the template, which in Tigrinya includes information about the distribution of vowels, consonants, and consonant gemination. Thus the template for the perfect in (1a) can be represented abstractly as $C_1C_2C_3$. This template happens to occur with an obligatory set of suffixes which indicate the person, number, and gender of the subject, as in (2).

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(2)		<i>singular</i>	<i>plural</i>
	1st person	sAbAr-ku	sAbAr-na
	2nd person	sAbAr-ka	sAbAr-kum
	<i>masc.</i>		
	<i>fem.</i>	sAbAr-ki	sAbAr-kin
	3rd person	sAbAr-A	sAbAr-u
	<i>masc.</i>		
	<i>fem.</i>	sAbAr-At	sAbAr-a

Similarly, each of the other templates in (1) occurs with a particular set of affixes. My interest in this paper, however, is on the form of the stem, independent of the affixes. I will therefore generally omit affixes in illustrating stems, indicating the incomplete status of a stem by a hyphen.

In addition to the inflectional templates in (1), templates are also used for derivational purposes. For example, a root which can be used in verb patterns can often also be used as a noun in a different pattern. The pairs below, from da Bassano (1918), illustrate the roots /dnk/, /dr^ʕ/, and /ʕgs/.

- | | | | |
|-----|----|-----------------------|---|
| (3) | a. | dAnnAk- | 'be astonished' |
| | b. | dInki | 'wonder, surprise' |
| (4) | a. | dArRA ^ʕ - | 'patch up, reinforce clothing with patches' |
| | b. | dir ^ʕ i-to | 'patchwork quilt' |
| (5) | a. | ʕiggus | 'patient' |
| | b. | ti-ʕis-ti | 'patience' |

In such cases, the consistent correlation between consonants and semantics motivates the positing of the consonantal root as an independent morpheme. It is the nature of this consonantal root which is the focus of this paper.

1.1. One-to-one correspondences

All examples given so far involve roots with three consonants, or **triliterals**; this is by far the most common root type throughout Semitic, including Tigrinya. Additional examples are given below, in the perfect stem. In (6) are Type A verbs, the default class, while in (7) are verbs from Type C, a small class characterized by conjugations with the vowel [a] between the first two consonants (Leslau 1941: 95, Berhane 1991: 168ff).

- | | | | |
|-----|----|---------------------|--------------|
| (6) | a. | gArAf- | 'whip' |
| | b. | wAlAd- | 'give birth' |
| | c. | lAwAs- | 'mix' |
| | d. | bAlA ^ʕ - | 'eat' |
| (7) | a. | barAk- | 'bless' |
| | b. | lašAy- | 'shave' |
| | c. | safAg- | 'hesitate' |

While this root type is the most common, both shorter and longer roots also exist. Following are the perfect stems of some **quadriliteral** roots, containing four consonants.

- | | | | |
|-----|----|---------|-----------|
| (8) | a. | mAskAr- | 'witness' |
| | b. | gAlbAt- | 'turn' |
| | c. | šAmgAl- | 'mediate' |

True **quinquiliterals**, i.e. roots with five underlying consonants, are exceedingly rare; da Bassano (1918) gives only one root which is treated here as quinquiliteral. See the next section for discussion.

1.2. One-to-many correspondences

For the basic perfect stems examined so far, the number of root consonants is the same as the number of consonant slots in the template. For example, the common Type A verb exemplified by *sAbAr-* ‘break’ has a trilateral root with a three-consonant template. Similarly, the verbs in (8) have quadrilateral roots and four-consonant templates. But in many cases the number of root consonants is smaller than the number of consonant slots which they need to fill. For example, the common Type B verb is characterized by a trilateral root and a four-consonant template. To fill the extra consonant slot, this verb type has gemination of the medial consonant.

- (9) a. *bAddAl-* ‘hurt’
 b. *rAssA^c-* ‘forget’
 c. *wAddAs-* ‘praise’

Less common, but reflecting the same trilateral–four-slot situation, are verbs with spreading of the final consonant to fill the remaining slot.

- (10) a. *kArdaL-* ‘cut up vegetables’
 b. *dArSAs-* ‘heal’

The contrast between the verbs in (9) and (10) is illustrated below, using the templatic formalism introduced by McCarthy (1981).

- (11) a.
$$\begin{array}{cccccc} C & \Lambda & C & C & \Lambda & C \\ | & & \diagdown & / & & | \\ b & & & d & & l \end{array} = bAddAl$$
- b.
$$\begin{array}{cccccc} C & \Lambda & C & C & \Lambda & C \\ | & & | & \diagdown & / & \\ d & & r & & s & \end{array} = dArSAs$$

There are various theories about how to derive the difference between the two verb types and the way they are realized in the template (see Buckley 1990); the important point for the present discussion is that both verbs have three root consonants. That is, at the consonant level of representation, they are of exactly the same trilateral type, and are equivalent for the purposes of this investigation.

Except for three irregular verbs — *hab-* ‘give’, *haz-* ‘hold’, *bal-* ‘say’ — there are no templates with just two slots for consonants.¹ This means that when a **biliteral** root, with just two consonants, is associated to a template, at least one of the consonants has to serve double duty, as in (11). A very common pattern in Semitic is the repetition of the second consonant. This can occur with a three-slot template, as in (12).

- (12) a. *sAdAd-* ‘send’
 b. *kAbAb-* ‘surround’
 c. *mAZAZ-* ‘draw sword’
 d. *naZAZ-* ‘forgive’

Similar (though less common) repetition can occur when a biliteral root is combined with a four-slot template, where the three final slots all instantiate one consonant.

¹ Even the irregular verbs can be shown to derive from the trilateral roots /whb/, /thz/, and /bhl/ (Leslau 1941: 122f). Other apparent two-consonant stems such as *kAd-* ‘go’ and *mot-* ‘die’ involve deletion or coalescence of a glide (roots /kyd/, /mwt/).

identical consonants in a row. That is, both */sdd/ and */ssd/ are prohibited as underlying roots. This effect is attributed to a general phonological notion motivated by tone and many other areas (Leben 1973, Goldsmith 1976, McCarthy 1986).

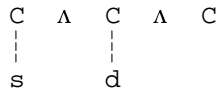
(18) *Obligatory Contour Principle (OCP)*

Adjacent identical elements are prohibited.

The OCP requires that a stem like *sAdAd-* be derived from the root /sd/, since */sdd/ is a violation of the principle, just as */ssd/ is a violation.

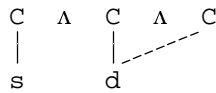
The responsibility for ensuring that it is the **second** consonant that spreads to two consonant slots then falls to the association algorithm, i.e. the rules that link up the root consonants to the template. The essential element of the explanation is that the consonants link up to the template slots one at a time, from left to right.

(19) *Left-to-right association*



The second step is spreading of the rightmost consonant to the remaining slot, yielding the correct output *sAdAd-*.

(20) *Rightward spreading*



If association is always left to right, the unattested form **sAsAd-* will not be derived, and the asymmetric pattern is correctly captured. (See McCarthy 1981, Yip 1988, Buckley 1990 for analysis of other stem types such as *bAdAd-*.)

2.2. Identical place features

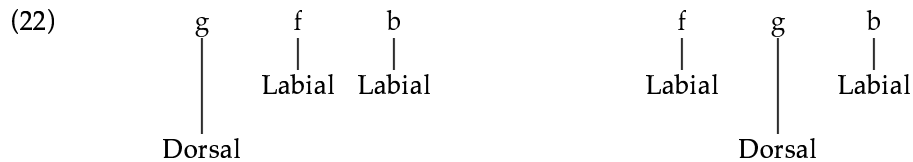
In the preceding section we saw how the OCP operates at the segmental level (root tier) to prohibit adjacent identical consonants. This can be termed the ‘total OCP’ (Pierrehumbert 1993), since it refers to adjacent consonants that are identical in all their features. But the OCP is also important in explaining another widespread generalization regarding Semitic roots. As observed by Greenberg (1950) and others, not only are adjacent identical consonants prohibited within a root, but even nonidentical consonants of the same place of articulation, and even those which are nonadjacent, are strongly disfavored within a root. In other words, a ‘place OCP’ appears also to operate on individual place features to prohibit same-place consonants anywhere in the same root.

First it is necessary to clarify the category ‘place of articulation’. For the purposes of this generalization, the following classes of consonants are relevant; the consonants which make up each class are given for Tigrinya, but the same basic classes hold across the languages.

- | | |
|---------------------------|--|
| (21) <i>gutturals</i> | h ? ħ ʕ |
| <i>velars</i> | k g ʔ k ^w g ^w k ^w |
| <i>coronal obstruents</i> | s z š t d ʔ |
| <i>coronal sonorants</i> | r n l |
| <i>labials</i> | f p b p̣ m |

Thus while a root like */kbb/ is prohibited by the total OCP, there is also a strong ‘place OCP’ effect which disfavors roots such as /kbb/ — adjacent and homorganic but nonidentical — and /bkb/ — identical but nonadjacent. There is, further, a weaker effect disfavoring nonidentical, nonadjacent consonants, e.g. /bkm/.

Since homorganicity is crucially involved in these generalizations, a proposed explanation of the cause is that, as suggested, the OCP operates not only on the consonant as a whole, but also on individual place features. The analysis is couched in a feature geometry framework which has privative articulator nodes which occur on different tiers of the representation (Sagey 1986, Mester 1986, McCarthy 1988, Yip 1989). The four articulator nodes Pharyngeal, Dorsal, Coronal, and Labial encode the four basic place classes in (21). Adjacency on an articulator tier is unimpeded by intervention of a different-place consonant, permitting consonants which are not strictly adjacent to interact in a local fashion at the level of articulator. In (22), notice that the consonants /f/ and /b/ both bear a Labial node, and that these nodes are adjacent to each other on their tier regardless of whether a consonant such as /g/ intervenes, since /g/ has no Labial node.



This approach has the weakness that it is categorical: it predicts that /gfb/ and /fgb/ should be equally disfavored, since the Labial nodes that constitute the OCP violation are equally adjacent in each case. However, as Pierrehumbert (1993) shows for Arabic, the degree to which cooccurrence of homorganic consonants is disfavored correlates with their proximity in the root: /gfb/ is worse than /fgb/. Further, the degree of disfavored is proportional to the relative identity of the homorganic consonants in features beyond those of place of articulation. For example, as we shall see, /gtd/ is worse than /gtz/, since the coronal obstruents in the first root share the feature [-continuant], while those in the second root do not.

In the next section I replicate Pierrehumbert’s Arabic results using data from Tigrinya, following her fundamental approach and assumptions. Differences of approach will be pointed out as they arise.

3. Tigrinya root-consonant cooccurrences

3.1. The corpus

The data in this section are drawn from a corpus of all the verb roots found in da Bassano (1918), the most complete dictionary of Tigrinya available at present. The consonantal roots were entered into a computer database for ease of searching and analysis. For the purposes of this investigation, the template to which a root associates has been ignored. For example, while the following verbs have various templates, as well as different ways of associating to the template (spreading vs. reduplication), they are all treated here as underlyingly biliteral /zl/ or /mz/.

- (23) a. zAlAl- ‘jump (over an obstacle)’
 b. ?a-zlAl- ‘wear no pants, just a robe’ (*causative in form*)
 c. zAllAl- ‘dilute with too much water’
 d. zAlzAl- ‘cut meat in strips for drying’
- (24) a. mAZAZ- ‘draw sword’
 b. mAZAZ- ‘belong, be fitting’
 c. mAZmAZ- ‘be very long (of incisors); burn’

Similarly, the following verbs are treated as trilateral /sbl/ and /hnk/ despite the templatic differences.

- (25) a. s_Δbb_Δl- 'sprout'
 b. s_Δbl_Δl- 'load onto pack animal'
- (26) a. ḥan_Δk̄- 'strangle'
 b. ḥan_Δk̄k̄- 'be spoiled, finicky (of child)'

It may be that the template to which a root associates also affects cooccurrence facts — for example, Lightner (1973: 58f) suggests that the presence of a vowel between two consonants helps explain the cooccurrence facts — but I have not pursued this question here.

Bearing these definitions in mind, we can now examine the sorts of roots which occur in Tigrinya. The corpus used here consists of a total of 2744 roots, with the following root-template correspondences.

(27)	<i>n</i>	<i>root type</i>	<i>templatic realizations</i>
	477	Biliterals	three-slot C ₁ C ₂ C ₂ four-slot C ₁ C ₂ C ₂ C ₂ or C ₁ C ₂ C ₁ C ₂
	1804	Triliterals	three-slot C ₁ C ₂ C ₃ four-slot C ₁ C ₂ C ₂ C ₃ or C ₁ C ₂ C ₃ C ₃ five-slot C ₁ C ₂ C ₃ C ₂ C ₃
	463	Quadriliterals	four-slot C ₁ C ₂ C ₃ C ₄ five-slot C ₁ C ₂ C ₃ C ₄ C ₄

The one true quinquiliteral in the dictionary (with neither spreading nor regular reduplication) has been omitted from the study; see (16).

Further notes on the data are in order. Recall the generalization that identical consonants occur only at the right edge of a stem: that is, we find s_ΔΔΔd- but not *s_Δs_Δs_Δd-. There are 52 roots in the corpus which are superficial exceptions to this generalization, e.g. l_Δl_Δy- 'separate'. Buckley (1990) argues that these verbs necessarily involve reduplication of a shorter root plus deletion of a consonant after association to the template, e.g. l_Δy_Δl_Δy → l_Δl_Δy. These roots are analyzed according to this analysis, i.e. not as /lly/ but as /ly/. See also Berhane (1991: 166f) for a list of these verbs, and Greenberg (1950: 167) for a wider context.

Due to the presence of similar verbs such as z_Δl_Δl- and z_Δll_Δl- in (23), the same string of consonants may appear as a root more than once in the corpus. The duplicates have not been removed because it is considered significant that such roots occur more than once. For example, the fact that several verbs have the root /zl/ is an indication that these two consonants combine freely, whereas a single root with this combination could more easily be seen as an anomaly. Similarly, da Bassano sometimes gives separate entries for a passive or causative form of a verb that may also appear as a simple entry with similar semantics.

- (28) ?a-r_Δdd_Δ?- 'persuade' (*causative in form*)
 cf. r_Δd?- 'help'
- (29) ?am-maš?- 'arrange marriage' (*causative-reciprocal in form*)
 cf. maš?- 'come'
- (30) t_Δ-k_Δll_Δl- 'have cataracts' (*passive in form*)
 cf. k_Δll_Δl- 'protect, guard; be (partially) blinded'

Rather than second-guess his motivations, I have followed da Bassano and treated these verbs as separate entries in the corpus. In other words, I have treated them in the same fashion as verbs which exist only in the causative or passive form, such as those below.

I choose the latter solution, and simply omit the nasal from the root. For (36a) in particular, the word *birki* 'knee' supports treating the root for 'knee' as /brk/ rather than /nbrk/. Leslau (1941: 108) explicitly gives this analysis for (36a,b).

Leslau (1941: 106ff) identifies three consonants which serve to extend the prefixes *t*_Λ- (passive) and *ʔ*_a- (causative): not only /n/ as illustrated in assimilated form in (36), but also /s/ and /š/. Due to templatic differences, it is easy to distinguish *prefix + /n, s, š/ + three-slot template* on the one hand, from *prefix + four-slot template* (where the first root consonant happens to be /n, s, š/) on the other. However, when the choice is between *prefix + /n, s, š/ + four-slot template* and *prefix + five-slot template* (with first root consonant /n, s, š/) there is no distinction in overall shape of the stem (cf. Berhane 1991: 359). Along the same lines as in (36), I have uniformly treated the /n, s, š/ in such ambiguous cases as a prefixal element, and not as part of the root.

- (37) a. *t*_Λ-n-kΛt'kΛt'- root = /kʔ/ 'shiver'
 b. *ʔ*_a-s-dΛmmΛm- root = /dm/ 'admire'
 c. *ʔ*_a-š-kΛrkΛr- root = /kr/ 'make turn, burst out laughing'

This conservative decision results in fewer overall consonant cooccurrences, including those within the same class, e.g. the coronal obstruents /s, d/ in (37b), as well as those from different classes, e.g. /n, k/ in (a). The number of verbs of this type is small: 44 with /n/, 13 with /s/, and just one with /š/.

3.2. Method

The basic approach taken here, as in Pierrehumbert (1993), is to compare the expected cooccurrences of each pair of consonants with the occurrences which are actually attested. The method here differs since three types of roots are included, rather than just triliterals.

The first step in this investigation was to determine the cooccurrence facts as observed in the corpus. Specifically, for each pair of consonants **a** and **b**, the cooccurrences were tallied independently for each type of root (bi-, tri-, and quadriliteral). That is, the positions in which **a** and **b** occur (call them **x** and **y**) are defined with respect to the total number of consonants in the root, as well as position within the root (I, II, III, IV). There are 10 possible pairings of root-dependent positions in a corpus that contains roots of 2, 3, and 4 consonants.

- | | | | |
|------|-------------------|-----|----------------------|
| (38) | <i>biliteral</i> | | <i>quadriliteral</i> |
| | I-II | ab | I-II ab·· |
| | | | II-III ·ab· |
| | <i>triliteral</i> | | III-IV ··ab |
| | I-II | ab· | I-III a·b· |
| | II-III | ·ab | II-IV ·a·b |
| | I-III | a·b | I-IV a··b |

The cooccurrences in various roots have been kept distinct; see §5 for discussion of whether the relative frequency of consonants varies according to the different root types.

After the number of observed cooccurrences (O) for a given pair of consonants in each possible combination of positions was calculated, values were added to derive larger values for more general categories: adjacent consonants and those separated by one or two other consonants.

- | | | | |
|------|--|---------------|----------------------|
| (39) | <i>adjacent consonants:</i> | biliteral | I-II |
| | | triliteral | I-II, II-III |
| | | quadriliteral | I-II, II-III, III-IV |
| | <i>separated by one other consonant:</i> | triliteral | I-III |
| | | quadriliteral | I-III, II-IV |

separated by two other consonants: quadriliteral I-IV

In addition, values for all cooccurrences, regardless of adjacency relations (i.e. all 10 pairings), were totaled to get an overall picture.

It should be noted that this method looks only at relative position; it treats cooccurrence of **a** and **b** in /ab·/ as equivalent to cooccurrence in /·ab/. This assumption is not obviously true — initial or final position could, for example, have some special status — and the role of absolute position deserves further investigation.

The second step was to calculate the expected values for each consonant cooccurrence. First, some definitions.

- (40) A = attested occurrences of consonant **a** in position **x**
 B = attested occurrences of consonant **b** in position **y**
 N = total number of roots instantiating these two positions

For each combination of two positions within a root, the expected number of pairings of **a** and **b** was calculated as follows.

- (41) A/N = maximum likelihood estimate of the probability that **a** will occur in position **x**
 B/N = maximum likelihood estimate of the probability that **b** will occur in position **y**
 $(A/N) \cdot (B/N)$
 = probability that **a** will occur in position **x** and **b** will occur in position **y**
 $E = (A/N) \cdot (B/N) \cdot N = (A \cdot B) / N$
 = expected number of roots with **a** in **x** and **b** in **y** from a corpus of **N** roots

These expected values, like the observed values discussed above, were determined independently for each type of root.

The calculation of **E** in (41) assumes independence of **A** and **B**, which is the null hypothesis under investigation. To the extent that the predicted value differs significantly from the observed cooccurrences, we have reason to believe that some outside factor — such as the OCP — is intervening and must be accounted for. The third step, then, was to compare the observed and expected values. For each pairing of consonants, and within each category of adjacency, the observed number of cooccurrences (**O**) was divided by the expected number of cooccurrences (**E**). A value of 1, of course, indicates that there is no factor inhibiting the cooccurrence of the consonants, i.e. that the OCP has no effect. A value of 0 means $O=0$, i.e. that the OCP effect is absolute. Values between 0 and 1 indicate varying degrees of strength of the effect. It is this varying degree — correlated with degree of similarity and degree of adjacency — which interests us here.

3.3. Results

In conformity with claims for Semitic in general, the corpus does not contain any roots with adjacent identical consonants. However, there are some roots with nonadjacent identical consonants, as well as numerous adjacent nonidentical consonants from the same place of articulation, and many nonadjacent nonidentical consonants. Statistical analysis confirms Pierrehumbert's predictions regarding the role of identity and proximity: the closer and more similar the consonants, the less likely they will be permitted to cooccur in a root. In this section I pool data for the bi-, tri-, and quadrilaterals, distinguishing the present study from Pierrehumbert's (1993), which includes only trilaterals. Possible differences among these three root types are considered in §5.

3.3.1. Adjacency

As mentioned above, and observed by Greenberg (1950), same-place consonants occur with significantly less than expected frequency, but they are not absent, as identical adjacent consonants are. Some examples are given in (42) as pure roots, rather than as stems.

(42) *Some roots with adjacent homorganic consonants*

sd	'send'
zɪ	'lean'
lɪm	'be rational, shrewd'
lk ^w k	'seal with a cover'
gs̩s̩	'reproach, warn, correct'
wsd	'take (along)'
bɪs	'break rope, wire'
szy	'not come true'
s̩sy	'waste away from disease'
ɦrnk	'snore'
mɪnɪs	'tear out by roots'

The table in (43) shows the observed occurrences of such adjacent homorganic consonants in the corpus (O); the expected occurrences based on the frequency of relevant consonants in the necessary positions (E); and the degree to which cooccurrence is actually permitted (O/E).²

(43) *Cooccurrences of adjacent homorganic consonants*

	<i>O</i>	<i>E</i>	<i>O/E</i>
<i>guttural</i>	0	78	0.00
<i>velar</i>	1	125	0.01
<i>coronal obstruent</i>	65	242	0.27
<i>coronal sonorant</i>	27	261	0.10
<i>labial</i>	2	132	0.02

Thus only one root contains two velars in adjacent positions — specifically, /lk^wk/ in (42) — but the overall frequency of velar consonants would lead us to expect 125 such roots. It can be observed that the effect is weaker for coronals than for the other classes; see also below.

Homorganic consonants are more common when nonadjacent than when adjacent, which the standard approach in (22) fails to capture in a principled way. Roots of this type are given in (44), and the numerical summary in (45). By definition, no bilaterals appear in this group.

(44) *Some roots with nonadjacent homorganic consonants*

fɪmt	'stare at'
fsm	'become pale or discolored'
drt	'delimit a field'
bg ^w m	'be sly, taciturn'
ɦsʔ	'be dry (esp. of hair)'
zmd	'become related by marriage'
fɪs	'repopulate a region with former residents'
mɪls	'be weak and incapable of work'
wslt	'lie, cheat; miss a target'

² In the tables here, figures for E have been rounded to whole numbers, but the exact values were used in calculating O/E.

The term ‘nonadjacent’ here includes only consonants separated by exactly one consonant. Chi-square tests show excellent significance for the adjacent and separated-by-one cases ($p \leq 0.001$), but no significance for the separated-by-two cases ($p \leq 0.250$): the number of tokens for the last category is too small. Data from this much smaller group of pairings separated by two consonants (i.e. I-IV in quadrilaterals) are excluded in (45) to (49), but are consistent with these results.

(45) *Cooccurrences of nonadjacent homorganic consonants (separated by one consonant)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>guttural</i>	6	49	0.12
<i>velar</i>	10	73	0.14
<i>coronal obstruent</i>	112	162	0.69
<i>coronal sonorant</i>	106	140	0.76
<i>labial</i>	18	68	0.26

Since identical consonants are also homorganic, they are included in this table. The table in (46) compares more directly the strength of the OCP effect for these two classes of cases, divided by place of articulation. The lower the value of O/E, the stronger the inhibiting effect.

(46) *O/E values for homorganic consonants according to adjacency*

	<u>adjacent</u>	<u>nonadjacent</u>
<i>guttural</i>	0.00	0.12
<i>velar</i>	0.01	0.14
<i>coronal obstruent</i>	0.27	0.69
<i>coronal sonorant</i>	0.10	0.76
<i>labial</i>	0.02	0.26

For each place of articulation, the effect is weaker when nonadjacent; that is, the value O/E is higher for the nonadjacent pairings. It is also the case that the OCP effect is weaker for coronals in both situations. It should be noted in addition that since biliterals are inherently excluded from the nonadjacent class, there is a possible confounding of the effect of adjacency with some difference between biliterals and other roots. However, the data in §5 indicate that biliterals and trilaterals pattern in the same way.

3.3.2. Identity

Adjacent identical consonants are absolutely prohibited (so this again excludes biliterals), but the following roots illustrate the fact that identical consonants do occur in nonadjacent positions.³

(47) *Some roots with nonadjacent identical consonants*

sls	‘plow a field the third time’
lʕl	‘raise, lift off the ground’
trt	‘tell stories, old traditions’
dndw	‘threaten to hit’
mslm	‘convert to Islam’

Only 12 such roots exist in the corpus, but just 125 are expected in the first place, since the requirement of full identity is quite stringent.

³ Some of these roots have known historical origins in roots without identical consonants. For example, /sls/ is the root for ‘three’; earlier this was /šls/ (as in Ge’ez: Leslau 1987), but in Tigrinya the two fricatives merged. (However, other branches of Semitic also have identical obstruents, e.g. Arabic /θlθ/, Hebrew and Akkadian /ššš/.) Similarly, the root /mslm/ is from the Arabic noun *muslim*, where the /m/ belongs to a prefix and the original root is /slm/, with just one labial.

(48) *Nonadjacent cooccurrences of identical consonants (separated by one consonant)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>guttural</i>	0	16	0.00
<i>velar</i>	1	14	0.07
<i>coronal obstruent</i>	7	30	0.23
<i>coronal sonorant</i>	2	43	0.05
<i>labial</i>	2	22	0.09

The table in (48) excludes six roots with identical labiovelars in the pattern $C_iC_jC_iC_k$, which appear to be modified reduplications; see below for discussion.⁴ Within the nonadjacent set, **nonidentical** consonants of the same class are far more common than those which are identical (Pierrehumbert 1993).⁵ This is not predicted at all by the place OCP.

(49) *Nonadjacent cooccurrences of nonidentical consonants (separated by one consonant)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>guttural</i>	6	33	0.18
<i>velar</i>	3	59	0.05
<i>coronal obstruent</i>	105	132	0.80
<i>coronal sonorant</i>	104	97	1.07
<i>labial</i>	16	46	0.35

As usual, among the coronals the prohibition is weaker. In fact, no prohibitory effect is found for nonadjacent coronal sonorants ($O/E > 1$).

Important subregularities exist within the major articulatory classes (for Arabic, cf. Yip 1989, Padgett 1992, Pierrehumbert 1993, McCarthy 1994). The guttural class, for example, consists of the laryngeals /h, ʔ/ and the pharyngeals /ħ, ʕ/. While a laryngeal occasionally combines with a pharyngeal, there are no cooccurrences at all within either of these subclasses. This gap is particularly striking in the case of the pharyngeals, which are rather common in the corpus.

(50) *Cooccurrences of gutturals (regardless of adjacency)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>laryngeal and pharyngeal</i>	6	49	0.14
<i>two laryngeals</i>	0	8	0.00
<i>two pharyngeals</i>	0	78	0.00

This greater inhibition is due to relative similarity: the more similar the consonants are, the less they are permitted to cooccur. So while /h, ʔ, ħ, ʕ/ share the property of being gutturals and their cooccurrence is consequently disfavored, the subset /ħ, ʕ/ shares the further property of being pharyngeals, and the prohibition on cooccurrence is absolute in this corpus.

Among coronals, the very fact that the sonorants and obstruents are placed in separate classes is due to the same notion of similarity. Perhaps due to the large size of the coronal class and the many distinctions that exist among its members, the central [\pm sonorant] dichotomy is strong enough to eliminate any OCP effect (cf. Greenberg 1950: 162f, Padgett 1992). The following table illustrates the lack of an effect across the two classes in Tigrinya.

⁴ Without this omission, the figures in (48) for velars are $O=7$, $E=14$, and quite aberrant $O/E=0.51$.

⁵ The 'nonadjacent homorganic' figures in (45) are the sum of 'nonadjacent identical' in (48) and 'nonadjacent nonidentical' (but, of course, homorganic) in (49).

(51) *Cooccurrences of a coronal sonorant and obstruent*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>adjacent</i>	744	625	1.10
<i>nonadjacent</i>	339	290	1.17

Compare these large values for O/E to the lower values in (46). Within each of these coronal classes, we find further effects of relative identity. Among the coronal sonorants, /n/ combines rather freely with /l, r/, but the liquids never cooccur with each other (cf. Greenberg 1950: 172f, Pierrehumbert 1993).

(52) *Cooccurrences of coronal sonorants (regardless of adjacency)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>one each of /n, l/</i>	46	81	0.57
<i>one each of /n, r/</i>	85	99	0.86
<i>one each of /r, l/</i>	0	91	0.00

This result suggests that the most salient feature among the sonorants is [\pm nasal], splitting the members into the two classes /n/ and /l, r/. Within either class the cooccurrence restriction is absolute in effect,⁶ but across the classes the effect is weak.

Among the coronal obstruents, the important dichotomy is defined by [\pm continuant]. The fricatives /s, z, š/ combine rather freely with the stops /t, d, t̥/, but within these classes cooccurrence is much less frequent (cf. Padgett 1992, Pierrehumbert 1993).

(53) *Cooccurrences of coronal obstruents (regardless of adjacency)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>fricative and stop</i>	178	216	0.82
<i>two fricatives</i>	9	103	0.09
<i>two stops</i>	9	112	0.08

See (61) below for further data on coronal cooccurrence effects.

Finally, within the velar group, there is a striking difference between the plain velars /k, g, k̄/ and the labiovelars /k^w, g^w, k̄^w/. Cooccurrences among the labiovelars are far more common than among the plain velars.

(54) *Some roots with multiple velars*

lk ^w k	'seal with a cover'
g ^w nk ^w	'transport (grain) from field to threshing floor'
g ^w h ^w nk ^w	'have chin joined to chest'
k ^w y ^w k ^w	'quarrel'

The only root which contains two plain velars is /grngr/ in (16), which is excluded from the analysis. Even if this root were added to the calculation for the table in (55), it would not change the stark difference between the relatively frequent cooccurrences of labiovelars (O/E=0.48), as opposed to cases where at least one plain velar is involved (less than 0.02).

⁶ Strictly speaking, the effect is not absolute within the /n/ class, because there are two quadrilaterals which contain two instances of /n/: *t_A-m_An_k_An-* 'be cunning' and *t_A-k_An_w_An-* 'succeed'. These positions, II and IV, are the typical locations of those identical consonants that do occur in quadrilaterals, and seem to involve a kind of semi-reduplication. There are also two roots with identical liquids: the common root /lʃl/ 'on, above' (originally from the preposition *la-* plus the root /lʃy/ 'up, high': Leslau 1987: 304) and the unusual quinquiliteral *g_Alʃ_Ang_Alʃ-* (16).

(55) *Cooccurrences of velars (regardless of adjacency)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>plain velar and labiovelar</i>	2	88	0.02
<i>two plain velars</i>	0	96	0.00
<i>two labiovelars</i>	10	21	0.48

While a rather large number of labiovelar pairs exist, they are all in nonadjacent positions, where there seems to be no OCP effect at all.

(56) *Cooccurrences of labiovelars*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>adjacent</i>	0	11	0.00
<i>nonadjacent</i>	10	10	1.05

Excluded from (48) above, but included here in (56), are six suspicious cases of cooccurring labiovelars. They all involve positions I and III in quadrilaterals, suggesting a type of semi-reduplication. Five of them have /r/ in position II: /k^wrk^wm, k^wrk^wh, k^wrk^wʕ, g^wrg^wh, g^wrg^wʕ/, while the sixth has /r/ in position IV: /k^wnk^wr/. It is likely that most or all of these derive historically from reduplicated bilaterals by substitution of /r/ (or /n/) in position II, or from a trilateral with infixation of the first consonant between the last two. Both possible origins are attested for Arabic, e.g. *qafqaf/qarqaf*, *ṭarib/ṭarṭab* (Fleisch 1968: 128f). Another scenario can be suggested for /g^wrg^wh/ ‘empty a container’, which resembles /drg^wh/ ‘pour liquid from a vessel’: here the initial /d/ has apparently been replaced to create a semi-reduplication. A plausible connection also exists between /k^wnk^wr/ ‘have sunken eyes’ and /nk^wr/ ‘be blinded’. Whatever the exact origin of each root, the original forms were probably consistent with the OCP.

If these six roots are omitted from (56), overall O/E for labiovelars is reduced to 4/21 = 0.19, mitigating their difference from plain velars, but certainly not eliminating it. The remaining asymmetry — as well as the existence of the six aberrant roots — is perhaps due to the fact that the labiovelars are not inherited from Proto-Semitic, and seem attributable from the Cushitic substrate in Ethiopia (cf. Dillman and Bezold 1907: 50f). If the source language(s) for borrowed words did not enforce a place OCP on roots, then the resulting roots will bring with them violations of the native Semitic pattern. As we have seen, this prohibition is not absolute, and a certain number of such violations can be tolerated.

An additional point of interest regarding the labiovelars is their status relative to the labials. In Arabic, it is well known that consonants with secondary pharyngealization do not resist combination with the pharyngeal class (Greenberg 1950, McCarthy 1994, Pierrehumbert 1993). A similar non-equivalence of secondary and primary articulations exists for labiality in Tigrinya, since labiovelars occur quite often with labials.

(57) *Cooccurrences of a labial and labiovelar*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>adjacent</i>	71	85	0.84
<i>nonadjacent</i>	98	60	1.64

There is apparently a weak effect in adjacent position, but certainly no effect for nonadjacent tokens. It is not clear whether this difference is related to the considerable adjacency asymmetry for labiovelars as a class, seen in (56). Further research on the history of labiovelars in Tigrinya may elucidate these patterns.

4. The Similarity Model

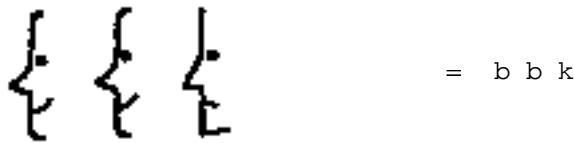
As Pierrehumbert (1993) notes, the standard approach to Semitic consonant cooccurrence restrictions requires two constraints: the total OCP, acting on adjacent segments, is absolute, while the place OCP, acting on potentially nonadjacent articulator nodes, is not absolute. The account is therefore not unified.

In addition, the gradient effect of the place OCP, based on relative adjacency and relative similarity, is unexplained. Pierrehumbert's data for Arabic, as well as the data for Tigrinya presented in §3, show that the extent to which the cooccurrence of two consonants is disfavored depends on how close they are (degree of adjacency) and how many features they share (degree of identity); see also Greenberg (1950). It appears, then, that the OCP is not an iron-clad principle, but is instead a matter of degree.⁷

Pierrehumbert (1993) proposes that there is only a place OCP which targets (adjacent) identical articulator nodes, but whose strength of effect is proportional to the similarity of the consonants which have those identical nodes. Similarity increases with proximity and featural identity, and these effects are cumulative. The case of maximal similarity — where the consonants are both adjacent and fully identical in their features — is prohibited absolutely. The extreme case of enforcement derives the effect of the total OCP, but is treated formally as a subcase of the place OCP. Thus by incorporating gradience into the analysis, the disunity of the standard approach (both place and total OCP) can be eliminated.

This formulation relates to a more general notion of perceptual similarity, which is independently known to depend on the presence of intervening material (e.g. Zechmeister and Nyberg 1982) and to be analyzable in terms of feature sets (e.g. Tversky 1977). Analogies for Semitic root consonant strings are given in (58), using diagrams borrowed from Tversky.

(58) a. Adjacent, identical (prohibited by OCP)



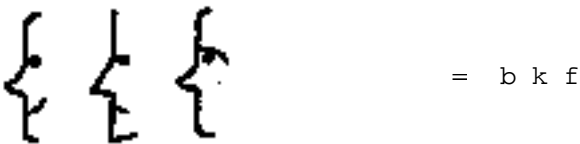
b. Adjacent, nonidentical (disfavored)



c. Nonadjacent, identical (disfavored)



d. Nonadjacent, nonidentical (mildly disfavored)



⁷ See Berkley (1994a,b) for similar arguments based on English consonant cooccurrences.

The first face in (58a) is more easily recognized as similar to an identical face when that second face is adjacent to it, in essence facilitating comparison. In the same way, a /b/ is easily recognized as identical to another /b/ when it is adjacent to it — and so this root type is ruled out. When similarity is actually lessened by a change in features — whether a change in expression for the face in (58b), or a change in continuancy and voicing for /f/ — the two objects are less offensive to any principle against adjacent identical items. But a reduction in **perceived** similarity is achieved by moving the objects further apart, with an intervening object, whether another face (58c) or another consonant. The two effects combine in a case like (58d), where nonidentical, nonadjacent objects are the least likely to be perceived as similar.

Pierrehumbert (1993) proposes a simple mathematical formula for calculating the degree of Similarity according to featural identity.⁸ It depends on the distinctive features that are assumed for the consonants in question — more specifically, on how many of these features of the consonants are the same, and how many are different.

- (59) a. Same = number of shared features
 b. Different = number of features which differ
 c. Similarity (S) = Same / (Same + Different)

The advantage of this formulation is that it produces a result that ranges from 0, if no features are the same: $0/(0+n)$; to 1, if no features are different: $n/(n+0)$. I propose an additional metric of Distinctness, which is simply the difference between Similarity and 1.

(60) Distinctness (D) = 1 – S

Ideally, this figure will stand in rough correspondence to O/E. That is, as Similarity increases, Distinctness (D) decreases, and the greater strength of the OCP effect should also lead to a lowering of the value for O/E.

This approach provides a means of testing proposals for feature specifications (cf. Pierrehumbert 1993). Here I pursue an interesting confirmation of recent arguments that laryngeal features are privative. Consider the following fricative-stop pairings according to laryngeal articulation.

(61) *Cooccurrences of coronal obstruents (regardless of adjacency)*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>voiceless /s, t/</i>	39	70	0.56
<i>voiced /z, d/</i>	8	43	0.19
<i>ejective /ʃ, tʃ/</i>	4	33	0.12

The voiceless consonants cooccur much more freely than either the voiced or ejective pairs. Yet at first glance it seems that each of these pairs is comparable, differing only in [continuant]. To capture the special status of the voiceless pair, /s, t/ must count as less similar than either /z, d/ or /ʃ, tʃ/.

There is considerable evidence from a wide range of languages and phonological phenomena that the features which define these pairs, i.e. [voiced] and [constricted glottis], are privative (Mester and Itô 1989, Lombardi 1991, 1995); that is, they exist only in their positive values, and there is no formal object corresponding to [–voiced] or [–constricted glottis]. A plain voiceless obstruent, then, bears no laryngeal features at all.

⁸ For a revised approach, not pursued here, see Frisch, Broe, and Pierrehumbert (1995). See also Pierrehumbert (1994) for more general discussion.

(62)		<u>s</u>	<u>z</u>	<u>ʃ</u>	<u>t</u>	<u>d</u>	<u>tʰ</u>
	[Coronal]	+	+	+	+	+	+
	[continuant]	+	+	+	-	-	-
	[voiced]	0	+	0	0	+	0
	[constricted glottis]	0	0	+	0	0	+

In order to capture this difference in feature marking, the determination of similarity must ignore the joint lack of a feature (cf. Yip 1989).

(63)	Same	[+ +], [- -]
	Different	[+ -], [+ 0], [- 0]
	Neither	[0 0]

Under these assumptions, pairs of consonants in which both possess the same privative feature ([voiced], [constricted glottis]) receive a greater relative value for Same and therefore greater S. Where both members of a pair lack a feature, that shared property is not counted and a smaller value for S results.

(64)		<i>Same</i>	<i>Different</i>
	<i>voiceless</i> /s, t/	[Cor]	[cont]
	<i>voiced</i> /z, d/	[Cor]	[cont, voiced]
	<i>ejective</i> /ʃ, tʰ/	[Cor]	[cont, cg]

Using the formulas given in (59) and (60), we arrive at the following values for Similarity and Distinctness. The values for O/E in (61) are repeated below as well.

(65)		<u>S</u>	<u>D</u>	<u>O/E</u>
	<i>voiceless</i> /s, t/	0.50	0.50	0.56
	<i>voiced</i> /z, d/	0.67	0.33	0.19
	<i>ejective</i> /ʃ, tʰ/	0.67	0.33	0.12

While the correspondence of D and O/E is far from exact, the overall pattern is the same: the voiceless pair is higher than the other two, which are (roughly) the same as each other. The important point is that the achievement of this asymmetry depends on accepting the privative status of laryngeal features, and provides support for that conclusion from an unexpected source.

Although they cannot be pursued here, interesting questions arise from the nature of the calculations required to correctly predict the strength of the OCP effect based on the featural representations (see Frisch, Broe, and Pierrehumbert 1995). In particular, certain features seem to carry more weight than others. For example, the table in (52) suggests that a difference in [nasal] entails greater distinctness than a difference in [lateral], thereby permitting freer cooccurrence of /n/ with /l/ or /r/ than of the two liquids together (cf. Pierrehumbert 1993). The special status of [lateral] in the system, as a feature which serves to distinguish only this pair of sounds, may be the ultimate explanation; or it might be captured in approaches to the representation of sonorants, such as the Spontaneous Voice node of Rice and Avery (1991). I leave resolution of such questions for future research.

5. The role of root length

In this section I consider an additional hypothesis which is made possible by the inclusion of more than just trilaterals in the corpus. Specifically, is there evidence that perceived similarity of adjacent consonants decreases as the total number of consonants in the root increases? We might call this the 'distraction' factor: just as an intervening item reduces perceived similarity, perhaps the presence of flanking items serves a similar function.⁹

⁹ Root length is clearly relevant to significance. Given 27 consonants, there are $27^2 = 729$ possible biliterals, $27^3 = 19,683$ possible trilaterals, and $27^4 =$ over 14 million possible quadrilaterals. Thus the

This hypothesis can be meaningfully tested in Tigrinya only for the coronals, because the other classes cooccur too infrequently in all root types: see (43) and (45). Among the coronal sonorants, there is a significant difference between tri- and quadrilaterals, but not between bi- and trilaterals. Since bilaterals are included in the comparison, only adjacent pairs are considered for the longer roots.

(66) *Cooccurrences of adjacent coronal sonorants*

	<u>O</u>	<u>E</u>	<u>O/E</u>	
<i>biliteral</i>	0	15	0.00	e.g. <i>nr</i> (absent)
<i>trilateral</i>	8	178	0.04	e.g. <i>knr, nrk</i> (very rare)
<i>quadrilateral</i>	19	67	0.28	e.g. <i>bknr, bnrk, nrbk</i> (uncommon)

Notice that both bi- and trilaterals show a strong OCP effect, while quadrilaterals show a much weaker effect. A possible explanation is that the presence of three other consonants creates a distraction effect which reduces perceived similarity, and thus reduces the strength of the OCP. However, it is surprising that the same effect does not distinguish the bi- and trilaterals.

Among the coronal obstruents, consideration of the full data does not yield the same pattern. Rather, we find very similar O/E values for each root type.

(67) *Cooccurrences of adjacent coronal obstruents*

	<u>O</u>	<u>E</u>	<u>O/E</u>	
<i>biliteral</i>	9	30	0.30	
<i>trilateral</i>	44	168	0.26	
<i>quadrilateral</i>	12	43	0.28	(all pairings: I-II, II-III, III-IV)

These data suggest that there is no distraction effect. However, the pattern for the obstruents closely parallels that for the sonorants if we omit the pairing of the last two consonants (III-IV) in the quadrilaterals. This pairing is strikingly aberrant, as demonstrated by its divergent O/E value.

(68) *Cooccurrences of adjacent coronal obstruents in quadrilaterals*

	<u>O</u>	<u>E</u>	<u>O/E</u>
<i>pairing I-II</i>	4	8	0.50
<i>pairing II-III</i>	4	8	0.49
<i>pairing III-IV</i>	4	27	0.15

In other words, the pattern *bkts* is proportionally far rarer than *tsbk* or *btsk*. The explanation for this asymmetry is unknown, but possibly diachronic. It is interesting to note that the absolute numbers of observed cooccurrences are the same; but in III-IV the expected numbers are much greater, because for quadrilaterals in general, position IV contains a large number of coronal obstruents. This in turn is perhaps because some coronal-obstruent suffix has been incorporated into many historically trilateral roots, but subject to the OCP, so that its current distribution is skewed in favor of roots which do not contain another coronal obstruent, at least in adjacent position. At any rate, if we exclude the pairing III-IV from the quadrilateral data, we arrive at a pattern more similar to that for the sonorants in (66).

(69) *Cooccurrences of adjacent coronal obstruents*

	<u>O</u>	<u>E</u>	<u>O/E</u>	
<i>biliteral</i>	9	30	0.30	
<i>trilateral</i>	44	168	0.26	
<i>quadrilateral (nonfinal)</i>	8	16	0.49	(pairings I-II, II-III, but not III-IV)

absence of a particular cooccurrence among the bilaterals is far more significant than the absence of a cooccurrence among the trilaterals.

As with the sonorants, the bi- and trilaterals are roughly the same, while the quadrilaterals show much greater freedom of cooccurrence.

While the modification of the data in (69) produces a similar pattern to that in (66), which together might be taken to suggest a distraction effect in the quadrilaterals, the fact remains that there is no such difference between the bi- and trilaterals. Since the quadrilaterals introduce considerable complications — they are in some cases reanalyses of a trilateral plus an affix, or involve semi-reduplications — there are many other potential explanations for their differences from the shorter roots. Based on the more straightforward bi- and trilateral data, there does not appear to be any distraction effect.

5. Conclusion

I have shown that the patterning of root consonants in Tigrinya obeys the same restrictions on homorganic cooccurrences found in Arabic and other Semitic languages. In particular, the data support the conclusion of Pierrehumbert (1993) that the OCP which holds of place features relies on a gradient notion of similarity. The case of maximal similarity (adjacent and identical) is ruled out; identical consonants obscured by nonadjacency are permitted in small numbers; nonidentical consonants are permitted with some freedom if adjacent, and with considerable freedom if separated by one or more consonants. Comparison of bi- and trilateral roots also suggests that the number of consonants in a root has no bearing on the nature of consonant cooccurrences found in the root.

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Eugene Buckley
Dept of Linguistics
619 Williams Hall
University of Pennsylvania
Philadelphia, PA 19104-6305

gene@unagi.cis.upenn.edu