# A Hybrid Analysis of Distinct Double Nominative Constructions in Korean

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- 1. Introduction
- Double Nominative Construction (DNC) in Korean
- (1) DNCs with intransitive verbs:
  - a. khokkili-**ka** kho-**ka** kil-ta. elephant- **NOM** trunk- **NOM** is-long- PRST-DEC 'An elephant's trunk is long.'
  - b. John-i dongsaeng-i yeppeu-ta.

    John-NOM sister-NOM is-prettey-PRST-DEC

    'John's sister is pretty.'
  - → the Nominative (NOM henceforth) case is realized with more than one NP in a clause.
  - Some questions to be addressed.
    - ✓ how more than one NP can appear with NOM case
    - ✓ how two arguments can appear with a one-place predicate like kil-ta 'is long.', yeppeu-ta 'is pretty.'
  - New Empirical Observation: DNCs with transitive verbs
- (2) DNCs with transitive verbs:
  - a. khokkili-ka kho-ka kwaca-lul cip-ess-ta. elephant- NOM trunk- NOM snack- ACC pick-up- PAST-DEC 'An elephant's trunk picked up the snack.'
  - b. John-i dongsaeng-i ai-lul ip-yang-haet-ta.

    John- NOM sister- NOM child-ACC adopt- PAST-DEC

    'John's sister adopted a child.'

- → Both intransitive and transitive verbs can take double nominatives as shown in (1) and (2), *khokkili-ka kho-ka* (elephant- NOM trunk- NOM) and *John-i dongsaeng-i* (John- NOM sister- NOM)
- → However, the constituency of those NPs varies depending on the verb type.
- I will show the difference between DNCs with intransitive verb and transitive verb in the following section.

# 2. Distinguishing on Double Nominative Constructions

# In this talk, I will suggest:

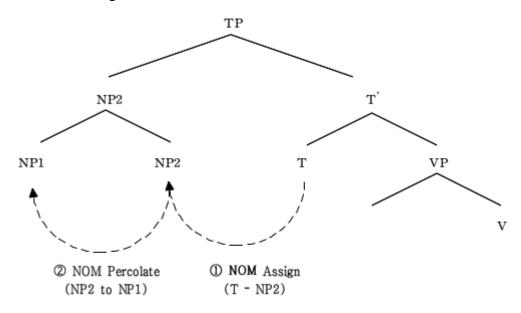
- 1. A new observation in DNCs with transitive verb
  - : focuses on the verb, especially in terms of transitivity.
  - : focuses on the **constituency** of the double nominative.
  - The previous studies only introduced DNCs with intransitive verb.
  - The difference in terms of the constituency between nominative NPs may come from their relations with verbs.
- 2. Two diagnostics of identifying the constituency of DNCs:
  - Adverb insertion
  - Scrambling
- 3. The underlying structures of DNCs in Korean as in (1) and (2).
  - I provide two distinct underlying structures in terms of the verb types and constituency.
- 4. Case Agree of DNCs
  - Hybrid approach to Case

#### 2.1. Previous Studies

- Two main approaches to the Case Assignment of DNCs
- However, the approaches only presented DNCs under intransitive verb construction.
- ✓ Indirect Case-assignment Hypothesis or Case-agreement (Yoon, 1990)

Case is assigned to NP2 and percolates to NP1 under Case-percolation) as shown in (3).

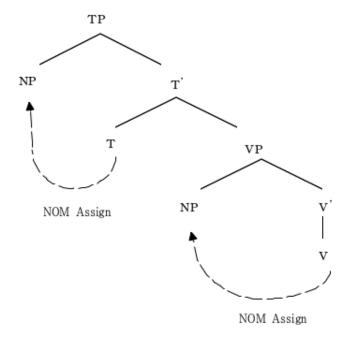
# (3) Indirect Case assignment



# ✓ **Direct Case assignment Hypothesis** (Maling and Kim, 1992)

Nominative NP gets Case from two different heads; one from the verb and the other from T, as shown in (4).

# (4) Direct Case assignment



- Multiple Case Agree in Japanese.
  - ✓ A question arises ...
    - → How double Nominative case can be assigned one from T and the other from V respectively.
    - → Upward Agree allows a probe to seek a goal c-commanding them multiply as opposed to the standard φ-feature agreement (See Zeijlstra, 2012; Bjorkman and Zeijlstra 2014; Miyagawa et al., 2016).

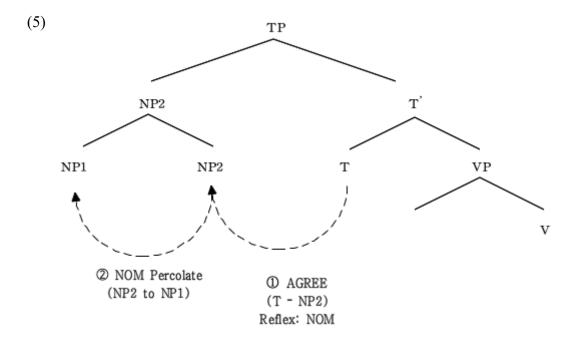
without  $\phi$ -feature agreement: Korean & Japanese – one-to-many

with φ-feature agreement: English – one-to-one

- → <u>I will show that Double Nominative in Korean allows Upward Agree</u>
- → <u>I will suggest two different AGREE operation depending on the verb types.</u>
  - 2.2. Indirect-Case Agree (DNCs with transitive verb)

Adopting Indirect Case assignment and percolation

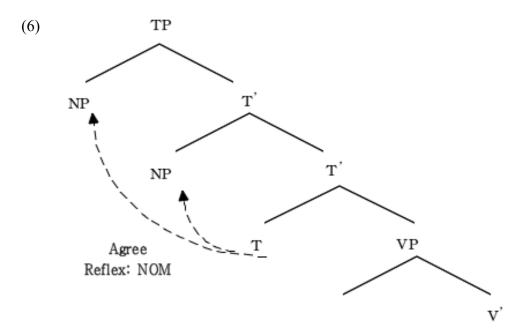
- ➤ I propose that T (probe) can agree with NP2 (goal) first and percolate NOM case to the constituent NP1 under DNCs with transitive verb.
  - A kind of Case sharing of one constituent



# 2.3. Direct-Case Agree (DNCs with intransitive verb)

Adopting Direct Case assignment and Upward Agree

> I propose that T (probe) can agree with multiple NPs (goal) under DNCs with intransitive verb.



→ For double nominative with **transitive verb**, I assume **Indirect-Case Agree**For double nominative with **intransitive verb**, I assume **Direct-Case Agree**.

I suggest two different Agree depending on the verb types because the structures of two nominatives are different.

# 2.4. Two diagnostics

- Before arguing the reason why DNCs in Korean are affected by the transitivity of verbs, I suggest how to distinguish the difference between DNCs.

Focusing on the constituency of two NPs.

→ Double nominative with the intransitive verb: **not a constituent** 

**Allow** [free adverb insertion / scrambling]

→ Double nominative with the transitive verb: a constituent

Not allow [free adverb insertion / scrambling]

#### **1** Adverb insertion

The impossibility of the adverb insertion between two NOMs supports that two NPs form a constituent (cf. Kim 1995).

# **♦ DNCS** with intransitive verb

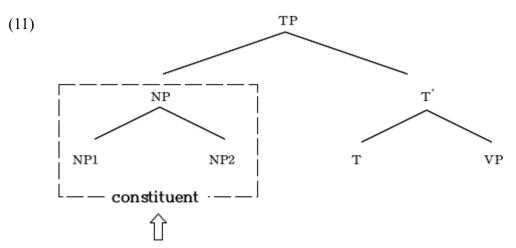
- Because both VP adverb *kkwayna* 'pretty' and TP adverb *wenlay* 'naturally' can be located freely between the two NOMs regardless of the adverb types,
  - $\rightarrow$  two NPs with intransitive are **not a constituent** as in (7) and (8).
- (7) khokkili-ka (kkwayna) kho-ka (kkwayna) kil-ta.
  elephant- NOM (pretty- ADV) trunk- NOM (pretty- ADV) is-long-PRST-DEC
  'An elephant's trunk is (pretty) long.'

  \*\*VP adverb\*\*
- (8) khokkili-ka (wenlay) kho-ka (wenlay) kil-ta.
  elephant- NOM (naturally- ADV) trunk- NOM (naturally- ADV) is-long- PRST-DEC
  'An elephant's trunk is (naturally) long.'

  TP adverb

#### **♦ DNCS** with transitive verb

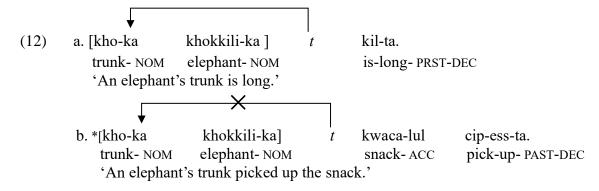
- Because both VP adverb catppalukey 'quickly' and TP adverb *ama* 'may be' cannot be located freely between the two NOMs regardless of the adverb types,
- $\rightarrow$  two NPs with intransitive are <u>a constituent</u> as in (9) and (10) and illustrated in (11).
- (9) khokkili-ka \*(catppalukey) kho-ka kwaca-lul cip-ess-ta elephant-NOM \*(quickly)-ADV trunk -NOM snack-ACC pick-up- PAST-DEC 'An elephant's trunk picked up the snack \*(quickly).' VP adverb
- (10) khokkili-ka \*(ama) kho-ka kwaca-lul cip-ess-ta elephant- NOM \*(maybe)-ADV trunk -NOM snack- ACC pick-up-PAT-DEC 'An elephant's trunk \*(may be) picked up the snack.' TP adverb



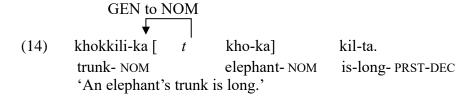
adverb cannot be inserted between constituent

#### ② Scrambling

- The impossibility of scrambling two NOMs supports that two NPs form a constituent.
- If two NOMs are a constituent, the word order is fixed.
- As two NPs with intransitive (12a) are not a constituent, scrambling one NP over the other is possible, and the second NP can precede the original first NP.
- As two NPs with transitive (12b) are a constituent, scrambling one NP over the other is not possible, therefore the word order is fixed.



- One might argue that this scrambling is not the movement out of NP, but rather the movement within NP.
- ✓ The previous study assumes that...
  - If double nominatives are a constituent, NP1 gets genitive case first and alternates to nominative case through extraction out of NP as in (13) and (14) (Kim, 1995).
  - If NOM moves within NP, Case cannot be alternated from genitive to nominative.
- (13) [khokkili-**uy** kho-**ka**] kil-ta. trunk- GEN elephant- NOM is-long- PRST-DEC 'An elephant's trunk is long.'



- If the structure of double nominatives of both intransitive verb and transitive verb are identical, there would be no difference.
  - → However, the observed difference indicates that the double nominatives of the two constructions are distinct from each other.

- If two NOMs are the subject of intransitive verb,
  - → two NOMs are **not a constituent.**
  - → two NOMs can be scrambled freely, regardless of the word order
  - → they both get NOM case as a reflex of Multiple Agree.

#### **Direct Case-Agree through Upward Agree**

- If two NOMs are the subject of transitive verb,
  - → two NOMs are <u>a constituent.</u>
  - → two NOMs cannot be scrambled freely, the word order is fixed.
  - → one NP gets NOM case as a reflex of Agree and the other NP gets NOM case through percolation.

#### **Indirect Case-Agree**

# 3. Towards a new analysis of DNCs in Korean

# 3.1. Relational NP types

- There are various relations NP types in Korean such as whole-part, kinship, property, and alienability (Choi, 2008).
- In light of my new data on DNCs with transitive verbs, I will focus specifically on whole-part NPs.

# 3.2. Hybrid analysis of DNCs in Korean

- Previous analyses attempt to explain all types of DNCs under either <u>Direct-Case</u> <u>assignment or Indirect-Case assignment analysis</u>.
- I argue that both analyses are required to explain the data under discussion.

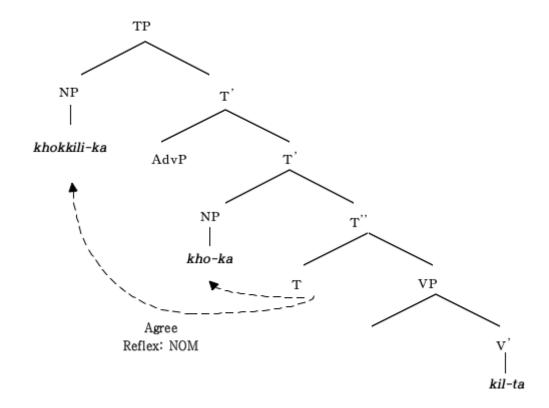
#### Therefore, I suggest...

- ➤ Direct Case-Agree (Upward Agree) for DNCs with intransitive verb Indirect Case-Agree for DNCs with transitive verb
- ➤ Hybrid analysis of DNCs in Korean

# **♦ DNCs with intransitive: Direct Case-Agree.**

- T agrees with each NP and NPs get NOM case multiply.

# (15) Direct-Case Agree

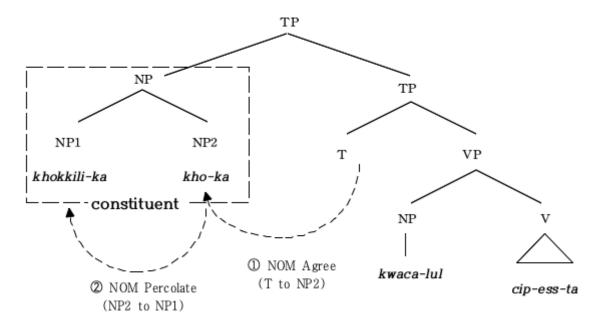


- ① Probe T agrees with its goal NP when the former is c-commanded by the latter. (Upward Agree)
- 2 T agrees with two NPs at the same time.
  - Multiple Agree is possible in Korean and Japanese without  $\phi$ -feature agreement.
- 3 As a reflex of agreement(Chomsky, 1995), both NPs get NOM case and their interpretable case features get deleted.

# **♦ DNCs with transitive: Indirect Case-Agree.**

- T agrees with one NP and gets NOM case and percolates the case to the other NP.

#### (16) Indirect Case-Agree



- ① Probe T agrees with its goal NP when the former is c-commanded by the latter.
- ② T agrees with NP2 first, and gets NOM case a reflex of agreement(Chomsky, 1995).
- 3 The NOM case of NP2 is percolated to NP1 because they form a constituent.
  - 'Percolation' is needed for DNCs with transitive verb because the structures of the double nominatives are distinct between intransitive and transitive.

#### 4. Prediction

#### 4.1. Honorific Agreement

Intransitive and transitive verb structures are subject to different Agree systems.

#### DNCs with intransitive - each nominative: not a constituent

- each NP can participate in honorific agreements respectively.
  - 1) NP1 with the predicate *halapeji* 'grandfather' [+HON] agrees with -si [+HON] as in (17a)
  - 2) NP2 with the predicate non-honorific subject *son* 'hand'[-HON] cannot get the honorific marking -*si* [+HON] as in (17b)
  - → because both NPs are assigned the NOM case respectively as a subject in the clause, both have the possibility to participate in the honorific agreement

a. halapeji-kkeyse son-i khu-si-ta.
grandfather- HON hand- NOM is-big-HON-PRST-DEC
b. halapeji-kkeyse son-i khu-ta.
grandfather- HON hand- NOM is-big- HON-PRST-DEC

#### DNCs with transitive - each nominative: a constituent

- A constituent NP participates in the honorific agreement together.
  - → However, the honorific agreement is not percolated to NP1.
- Inanimate NP2 *son* 'hand' cannot participate in the honorific agreement with the predicate.
  - → Without the honorific agreement as in (18a), is acceptable.
  - → With the honorific agreement and percolation of the honorific marker as in (18b), is not acceptable.
- (18)a. [halapeji- ka son-i] cigap-ul cap-ess-ta. grandfather- NOM hand- NOM wallet-ACC catch- HON-PAST-DEC b. \*[halapeji-kkeyse son-kkeyse] cigap-ul capeu-si-ess-ta. wallet- ACC grandfather- HON hand- NOM catch- HON-PAST-DEC

#### 4.2. DNCs in Japanese

- Double Nominative Constructions in Japanese exhibit the same behaviors when it comes to verb types.
- Double nominatives with intransitive verb do not form a constituent.
  - → the word order of NP1 and NP2 are free as in (19)
- Double nominatives with transitive verb form a single constituent.
  - → the word order of NP1 and NP2 are fixed as in (20)
- a. zoo-ga hana-ga naga-i.
  elephant- NOM trunk-NOM long- PRES-DEC
  'An elephant is such that its trunk is long.'
  b. hana-ga zoo-ga naga-i.
  trunk- NOM elephant-NOM long- PRES-DEC
  - 'An elephant is such that its trunk is long.'
- (20) a. zoo-ga hana-ga kasi-o tsuma-mwu.

  elephant- NOM trunk- TOP snack- ACC pick-up- PAT-DEC

  'An elephant's trunk picked up the snack.'

b. \*hana-ga zoo-ga kasi-o tsuma-mwu. trunk- TOP elephant- NOM snack- ACC pick-up- PAT-DEC 'An elephant's trunk picked up the snack.'

#### 5. Conclusions

- → The relations between the two NPs with nominative differ depending on the verb types: intransitive or transitive.
- **♦** Indirect Case-Agree: DNC with transitive
  - T agrees with NP2 and get NOM case and percolates NOM case to NP1.
- → Direct Case-Agree: DNC with intransitive
  - T agrees with two NPs and gets NOM Case through Upward Agree
- + The constituency of multiple nominatives is an important property of DNCs.
- ★ Two diagnostics to distinguish the constituency difference between DNCs
   : adverb insertion and scrambling
- **→** The hybrid analysis is able to offer new accounts for DNC in Korean.

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