# Input \& Universal Grammar 

Charles Yang<br>Department of Linguistics, Computer Science \& Psychology<br>Institute for Research in Cognitive Science<br>University of Pennsylvania<br>SLRF Formal and Functional Symposium<br>Pittsburgh 2012

## Input \& Universal Grammar

- Input: A statistical look at distributional information
- Output: Quantitative and cross-linguistic patterns of development
- Mechanisms of learning: Why UG can make use of input-driven, probabilistic, and domain general learning processes
- Some speculations on L2 acquisition


## Input \& Usage Effects?

- Frequency effects, limited extent of diversity ("verb islands"), etc.
- "give me X", a highly frequent expression, is often cited as evidence of the child using formulaic expressions
- From the Harvard children (Adam, Eve, Sarah)
- give me: 93 , give him: 15 , give her: 12 , or $7.75: 1.23: 1$
- me: 2870, him: 466, her: 364 , or $7.88: 1.28: 1$


## Input: Very boring

- Zipf's law: Much of language is repetitions of a few, while most distinct items occur rarely
- Linguistic combinations produce an even large space of possibilities (e.g., bigrams, trigrams, morphology, rules/ constructions)



## Wall Street Journal



- Rules and their frequencies from the Penn Treebank (log-log scale)


## Verb Islands in adult language ( $>1 \mathrm{Mil}$ )

|  | $\# 1$ | $\# 2$ | $\# 3$ | $\# 4$ | $\# 5$ | $\# 6$ | $\# 7$ | $\# 8$ | $\# 9$ | $\# 10$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| put | $\mathbf{4 0 1}$ | $\mathbf{1 6 4}$ | $\mathbf{1 2 4}$ | $\mathbf{1 5}$ | $\mathbf{1 2}$ | $\mathbf{1 2}$ | $\mathbf{1 1}$ | $\mathbf{1 0}$ | $\mathbf{8}$ | $\mathbf{5}$ |
| tell | $\mathbf{2 4 5}$ | 65 | 49 | 49 | 45 | 36 | 22 | 16 | 14 | 13 |
| see | $\mathbf{1 5 2}$ | 100 | 38 | 32 | 28 | 21 | 14 | 14 | 12 | 11 |
| want | $\mathbf{1 5 8}$ | 83 | 36 | 24 | 19 | 15 | 13 | 9 | 5 | 4 |
| let | $\mathbf{2 3 8}$ | 38 | 32 | 23 | 22 | 17 | 8 | 6 | 3 | 3 |
| give | $\mathbf{1 1 5}$ | 92 | 59 | 32 | 31 | 7 | 5 | 5 | 5 | 5 |
| take | $\mathbf{1 3 0}$ | 57 | 30 | 21 | 18 | 15 | 14 | 9 | 8 | 7 |
| show | $\mathbf{1 0 0}$ | 34 | 27 | 21 | 19 | 17 | 12 | 8 | 7 | 7 |
| got | $\mathbf{5 8}$ | 37 | 14 | 12 | 11 | 9 | 7 | 7 | 7 | 4 |
| ask | $\mathbf{4 5}$ | 41 | 27 | 24 | 12 | 10 | 8 | 8 | 4 | 2 |
| make | $\mathbf{6 7}$ | 20 | 12 | 10 | 9 | 7 | 7 | 4 | 3 | 2 |
| eat | $\mathbf{6 7}$ | 42 | 14 | 8 | 6 | 5 | 5 | 3 | 3 | 3 |
| like | $\mathbf{3 9}$ | 13 | 9 | 6 | 4 | 4 | 4 | 4 | 3 | 3 |
| bring | $\mathbf{4 3}$ | 30 | 17 | 15 | 10 | 10 | 3 | 3 | 3 | 3 |
| hear | $\mathbf{4 6}$ | 22 | 13 | 9 | 6 | 4 | 4 | 3 | 3 | 3 |
| total | $\mathbf{1 9 0 4}$ | $\mathbf{8 3 8}$ | $\mathbf{5 0 1}$ | $\mathbf{3 0 1}$ | $\mathbf{2 5 2}$ | $\mathbf{1 8 9}$ | $\mathbf{1 3 7}$ | $\mathbf{1 0 9}$ | $\mathbf{8 8}$ | $\mathbf{7 5}$ |

put: it, your, them, him, my, her, em, you, his, water

## PIN Number Analysis



## Matches and Mismatches

- Roger Brown (1973, A First Language): word order errors are "triflingly few"
- Children must be able to learn the basic rules of grammar with 2-3 million sentences
- Yet a great deal of surprises remain, especially if we relate them to the distributions of linguistic patterns in the input


## Abundant Input, Late Learning

- Missing subjects in child English (Bloom 1973, Hyam 1986)
- __ want look a man.
- Missing objects as well (Wang et al. 1992)
- Look at $\qquad$ . _ go a little higher
- Null subject stage last about 3 years but an overwhelming amount of child directed English input do contain the subject, as English is an obligatory subject language (unlike Chinese, Japanese, Spanish, Italian, etc.)


## Lateness is not Universal

|  |  | English | Italian | Chinese |
| :---: | :---: | :---: | :---: | :---: |
| Adults | Subject | $\sim 0 \%$ | $70 \%$ | $50 \%$ |
|  | Object | $0 \%$ | $0 \%$ | $20 \%$ |
| Children | Subject | $30 \%$ | $\sim 70 \%$ | $\sim 50 \%$ |
|  | Object | $\mathbf{8 \%}$ | $\mathbf{0 \%}$ | $\sim \mathbf{2 0 \%}$ |

Children age: $<3 ; 0$
Data from Wang et al. (1992), Valian (1991), Bates (1978)
"Luke, look at the input ..."

## Abundant Input, Late Learning

- Extensive use of Root Infinitives that should be tensed
- English: Papa have it.
- Dutch: thee drinken (tea drink-inf)
- French: Dormir petit bébé (sleep-inf little baby)
- German: mein Kako hinstelln (my chocolate milk put-Inf)
- Hebrew: Malon lauf (balloon fly-inf)


## Optional Infinitives and Null Subjects Together



Large dataset from a Dutch learner (data from Haegeman 1996)

## Little Input, Early Learning

- The placement of verbs in French
- Jean voit souvent/pas Claude. ("John sees often/not Claude")
- Only 7\% of the sentences in child-directed French show this pattern (Yang 2002), yet children learn this property of French by the time of two word combinations ( $1 ; 8$, Pierce 1992)
- marches pas ("works not")
- pas la poupée dormir ("not the doll sleep")
- Similar findings in similar languages, and languages like English pattern very differently


## Same Grammar, Differential Learning

- Germanic languages have Verb Second (V2)
- Dutch: Dit boek las ik gisteren. ("this book read I yesterday")
- Norwegian: Det vet æ ikkje. ("that know I not")
- But Dutch and German children take over 3 years to use V2 reliably as they produce a lot of verb initial utterances (Clahsen 1986, Haegeman 1996), while Norwegian children learn V2 as early as 2;0 (Westergaard 2009)


## Central Questions

- Can learning primarily consist of memorization and lexically specific rules?
- For detailed assessment of usage-based learning, seeYang (2011, Proc. Assoc. Comp. Ling.)
- What combination of grammar model and learning model will give the best account of child language?


## Industrial Lessons

- Statistical parsing: Learn from pre-parsed tree structures (e.g., Wall Street Journal, Brown Corpus)
- Start: a large set of probabilistic CFG rules

$$
\mathrm{S} \xrightarrow{p} \mathrm{NP} \mathrm{VP}, \mathrm{~S} \xrightarrow{1-p} \mathrm{NP} \mathrm{VP}
$$

- Training: adjust the probabilities of rule expansions so that they maximize the likelihood of the training data
- Testing: run the resulting grammar on new data
- State of art parsers: low 90\% (impressive but still a long way to go)


## Why Google hasn't solved everything

(a) $\mathrm{VP} \rightarrow \mathrm{V}$ NP
(b) $\mathrm{VP} \rightarrow \mathrm{V}_{\text {drink }} \mathrm{NP}$
(c) $\mathrm{VP} \rightarrow \mathrm{V}_{\text {drink }} \mathrm{NP}_{\text {water }}$

| Rule Type | F-score |
| :---: | :---: |
| $\mathrm{a}+\mathrm{b}+\mathrm{c}$ | $\sim 89.0 \%$ |
| $\mathrm{a}+\mathrm{b}$ | $\sim 88.4 \%$ |
| a | $\sim 84 \%$ |

- Multiple forms of rules are present in training, ranging from general to lexical
- One can vary certain types to test their effectives in generalization (Gildea 2001 Proc. ACL, Bikel 2004, Comp. Ling.)
- Storing construction or lexically specific rules offers virtually no payoff in data coverage (Yang 2011, Proc. ACL)
- The range of grammar (output) is enormous, but the learning data (input) is limited and grows far too slowly


## Are the best rules good enough?

- Formal learnability is one thing; the developmental test from child language is ultimately more important
- $\mathrm{S} \rightarrow$ NP VP will be learned quickly: $>95 \%$ of the English data
- VP $\rightarrow \mathrm{V}_{\text {Fin }}$ pas will be learned slowly: 7\% of the French data
- But French children learn verb placement early and English children learn the use of subjects late!
- What kind of (grammar, learning) combination would take the input and produce the output like children?


## UG + Learning from Input

- Parameters $\approx$ Principal Component Analysis
- "Child competence is identical to adult competence"
- "Parameters are set very early"
- Magic and More Magic ...
- Use parameters
- a model of language variation and child learning errors
- Do not use Magic
- use a model of learning that is gradual and takes input into account


## From Trigger to Dimmer



## Universal Grammar, statistics or both?

- The Variational model (Yang 2002 Oxford UP)
- Parameter values are associated with probabilities (p: VO, 1-p: OV)
- try out a value, reward/punish) based on success/failure
- learning rate: magnitude of change, subject to individual variation
- More tokens of parameter signatures, faster learning


## Signatures \& Learning

- Verb raising in French: 7\% input, very early acquisition
- Learning the use of subject in English
- Hearing "I eat pizza" doesn't no good because it does not disambiguate the types of grammars the learner considers
- Expletive subject sentences
- "There is a cookie on the floor" (1\%)
- Signature for Chinese-type topic drop: null objects (12\%)
- The most comprehensive study of a realistic parameter domain (Fodor \& Sakas 2012 Language Acquisition) shows that most if not all parameters have signatures, which make learning feasible


## From Input to Output

TABLE 1 Statistical Correlates of Parameters in the Input and Output of Language Acquisition

| Parameter | Target | Signature | Input Frequency (\%) | Acquisition |
| :--- | :---: | :---: | :---: | :---: |
| Wh fronting | English | Wh questions | 25 | Very early |
| Topic-drop | Chinese | Null objects | 12 | Very early |
| Prodrop | Italian | Null subjects in questions | 10 | Very early |
| Verb raising | French | Verb adverb/pas | 7 | 1.8 |
| Obligatory subject | English | Expletive subjects | 1.2 | 3.0 |
| Verb second | German/Dutch | OVS sentences | 1.2 | $3.0-3.2$ |
| Scope marking | English | Long-distance questions | 0.2 | $>4.0$ |

- Parameters have developmental correlates (Yang 2012, WIREs Cognitive Science)
- Same parameter, different languages:
- V2 in Norwegian: $\mathbf{1 0 \%}$ of OVS in input $\rightarrow$ early
- V2 in Dutch: $\mathbf{1 . 2 \%}$ of OVS input $\rightarrow$ late


## Input \& Individual Variation

- Never been denied (Chomsky 1965, Wexler \& Culicover 1980, Berwick 1985, Gibson \& Wexler 1994, Yang 2002)
- No need to appeal to unmotivated and unnecessary theoretical machinery to account for the gaps between children and adults
- Optional Infinitives: verbal morphology that mark tense-you are not learning Chinese (Legate \& Yang 2007 Lg. Acq.)
- Individual level correlation between length of OI stage and the amount of tensed morphology in CDS (Hadley et al. 2011 JSLHR)
- Suggests that the source of delay in SLI children may be due to (more general) learning: poor morphological learner (Leonard et al 1992, Rice et al. 2000) make less effective use of the tense information to unlearn the RI usage


## Learning \& Learning Language



- Variational Model uses Reinforcement Learning (Bush \& Mosteller 1951), a very general learning mechanism with broad behavioral and neural support
- Strongly demonstrated in human subjects (children and adults)
- See especially the work in the acquisition of sociolinguistic variables (Labov and co.)


## L2 Acquisition: Re-turning the dimmer?

- The combination of grammar model and learning model
- The Variational Model provides a precise and testable hypothesis for L2 acquisition research
- Even if the grammar model is not parameter based
- If initial state is L1-independent:
- L2 learners mirror the time course trajectories of L1 learners
- If initial state is L1:
- L2 learners will eschew the time course of L1 learners
- It's not sufficient to study a single parameter: cross-parameter comparison is necessary


## Conclusion

- Input is rich and interesting, but it alone won't do the job
- Also need to be cautious about drawing conclusions from input effects
- Input and Universal Grammar are perfectly consistent
- Previous conception of the learning mechanism needs to be reconsidered
- Input effects in L2 likewise may be assessed accurately by making the grammar-learning interaction very explicit

