# PERCEIVING SURPRISE ON CUE WORDS: PROSODY AND SEMANTICS INTERACT ON Right AND Really Catherine Lai. Department of Linguistics University of Pennsylvania



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## Questions

- How does variation in prosody vary meaning?
- How do we represent and model this interaction?

# Cue Words and Prosody

Cue words are used to maintain and further a dialogue in various ways.

- $Right \rightarrow$  Agreement, Backchannel.
- $\bullet Really \rightarrow Backchannel, Question.$

Prosodic features appear differentiating these uses. However, they don't appear to separate the *really* categories above [1]. What do they signal then?

# Corpus Study

Does surprise differentiate really's interpretation?

#### Data:

- 307 reallys from MDE 2003 annotations of Switchboard I (LDC2004T12, LDC2004S08) → backchannel/question.
- F0 values: manual alignment of glottal pulses, trimmed and smoothed [3]

#### Surprise levels

- (a0) unsurprising → backchannel? (a1) new but not particularly unlikely. (a2) new and undesirable.
- (a3) highly improbable but not contradictory. (r0) contradictory to their beliefs.

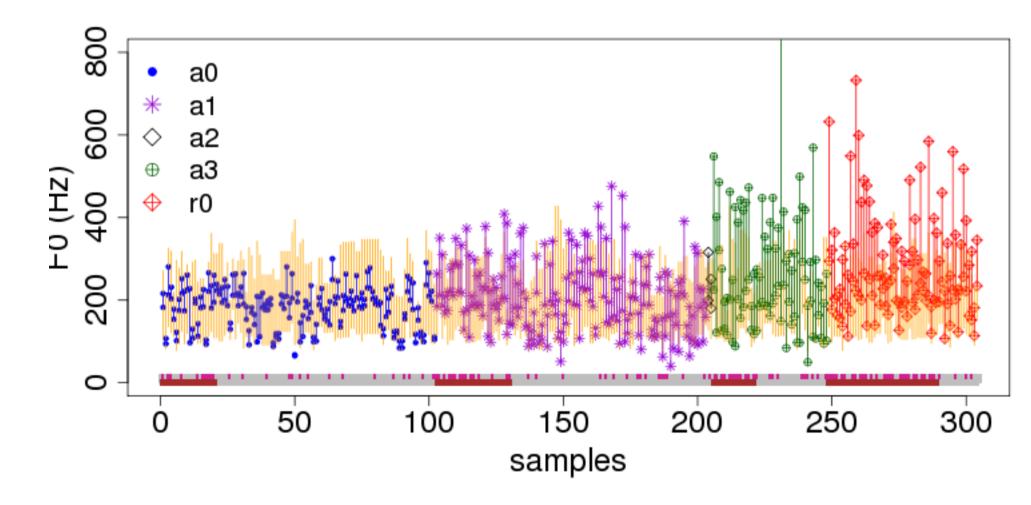


Figure 1: Really pitch range overlaid on normalization data, grouped by surprise category. Bottom bars: affirmative response (top) MDE question.

- Pitch range/level associates with surprise. (cf. effort code [2])
- Prosody signals update magnitude, with context and semantics → derive backchannel/question.

# Perception Experiment

Are surprise and questioning meanings orthogonal? Do ratings match MDE annotations?

- Stimuli: 64 backchannel reallys, question reallys (MDE/corpus study), and rights (192 tokens), each representing different quantiles: pitch range  $\times$  level  $\times$  duration.
- Subjects: 8 Penn students, native English speakers, paid.
- Method: The randomized stimuli were rated on 1-7 scales (1=not at all, 7=extremely) via a computer interface:
- → 'How surprised does the speaker sound?'
- → 'How much like a real question does this sound like?'

### Results

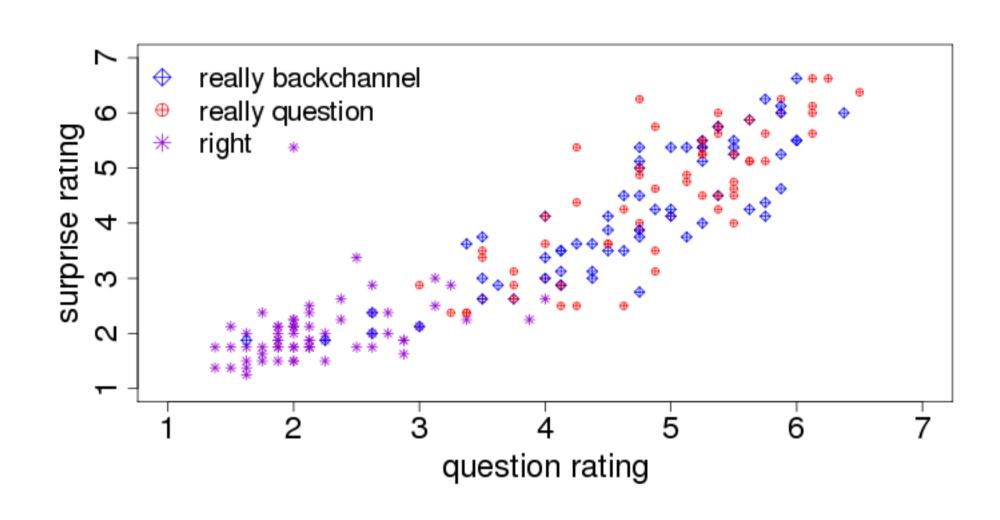


Figure 2: Average surprise v. question ratings. Note the difference between right and really.

- Backchannel/question MDE categories are not significantly different with respect to ratings.
  - Mann-Whitney U test: question p = 0.30, surprise p = 0.18.
- Surprise/questioning are correlated. Kendall's  $\tau = 0.63, p < 0.001$ , distributions are non-normal.

#### Prosodic Features

- Question/surprise ratings are most highly correlated with pitch range and pitch level  $\rightarrow$  *effort!*
- First, rather than second, syllable slope of really was significantly correlated with the ratings.  $\rightarrow$  final fall/rise does not seem associated with question interpretation.
- Pitch range 5-10 st: mean<sub>q</sub> right = 2.41, really = 4.93.

# Subject Variation

• Subjects could perform the task but had different rating biases. Krippendorff's agreement  $\alpha$  for ordinal data: above chance  $\alpha_s = 0.58$ ,  $\alpha_q = 0.50$ .

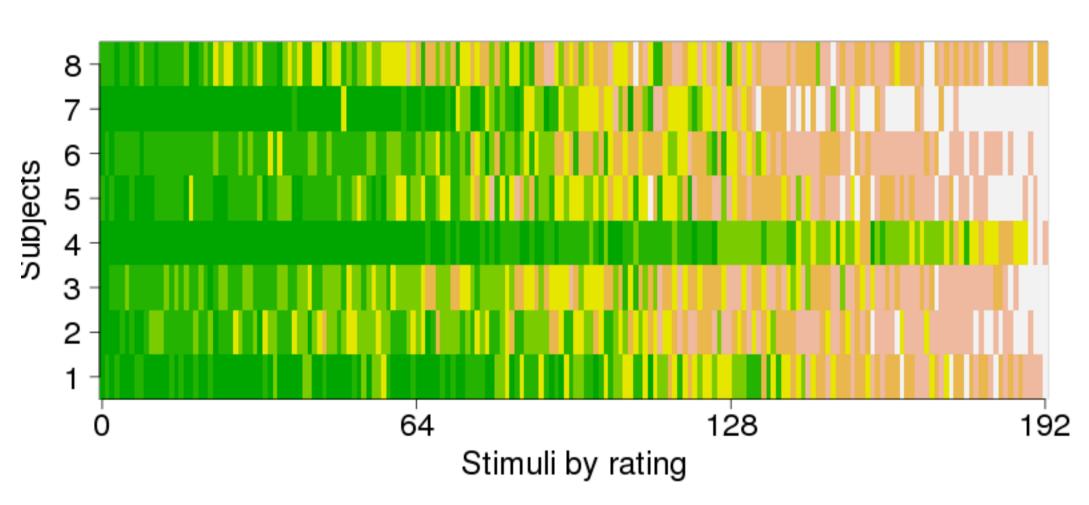


Figure 3. Stimuli ordered by mean average rating (increasing rightwards) by subject. Subject 4 was significantly different from the rest (Pairwise U tests: p < 0.001).

# Prosody and Meaning

- Effort code features intensify the underlying meanings of these words.
- Right (p)  $\approx$  p is now in the speaker's public beliefs, ie. not response seeking  $\Rightarrow$  effort  $\propto$  agreement.
- Really (p)  $\approx$  p is new information,  $\Rightarrow$  effort  $\propto$  surprise → questioning, ie. response seeking.

## Conclusion & Futher Work

- Surprise: a good measure *really*'s prosodic variance.
- Semantic/pragmatic features affect whether a cue word is interpreted as questioning.
- Final rise is associated with uncertainty, is this the same as questioning? What does it mean with *right*? Hypothesis: Uncertainty relates to truth or relevance depending on context.

#### References

- [1] C. Lai, "Prosodic Cues for Backchannels and Short Questions: Really?" in Proceedings of Speech Prosody 2008, Campinas, Brazil, May 2008, 2008.
- [2] C. Gussenhoven, "Intonation and Interpretation: Phonetics and Phonology," in Speech Prosody 2002, International Conference. ISCA, 2002.
- [3] Y. Xu, "Effects of tone and focus on the formation and alignment of f0 contours," *Journal of Phonetics*, vol. 27, pp. 55–105, 1999.